

PATENT MAINTENANCE  
SSF-08500

2006 MAY 15 PM 4: 52

US PATENT & TRADEMARK  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: William Dale Jones )

Serial No.: 10/630,649 )

Filed: July 29, 2003 )

For: **CONTROL OF FLUID FLOW IN  
THE PROCESSING OF AN  
OBJECT WITH A FLUID** )

**REQUEST FOR REFUND (IMPROPER  
CHARGE OF DEPOSIT ACCOUNT)**

162 North Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Attn: Refund Section, Accounting Division  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated April 28, 2006 for the above-identified patent application.

An Information Disclosure Statement was filed on April 18, 2006 (see copy of transmittal letter, certification for information disclosure statement and stamped received postcard). Deposit Account 08-1275 was debited \$180.00 on April 28, 2006, however, a certification was filed within the three statutory time period.

Therefore, no information disclosure statement fee should have been debited from the deposit account.

Applicant respectfully requests a credit to Deposit Account 08-1275 in the amount of \$180.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request in enclosed for this purpose.

Respectfully submitted  
HAVERSTOCK & OWENS LLP

Dated: 5-12-06

By: Thomas B. Haverstock

Thomas B. Haverstock  
Registration No.: 32,571  
Attorneys for Applicant(s)

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 5-12-06 By: [Signature]

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**Deposit Account Statement**

Requested Statement Month:

April 2006

Deposit Account Number:

081275

Name:

HAVERSTOCK &amp; OWENS LLP

Attention:

DANIELLE DALTON

Address:

162 NORTH WOLFE ROAD

City:

SUNNYVALE

State:

CA

Zip:

94086

Country:

UNITED STATES OF AMERICA

| DATE  | SEQ  | POSTING<br>REF TXT | ATTORNEY<br>DOCKET<br>NBR | FEE<br>CODE         | AMT            | BAL        |
|-------|------|--------------------|---------------------------|---------------------|----------------|------------|
| 04/03 | 1    | 10364124           | SSI-06000                 | 1806                | \$180.00       | \$3,007.00 |
| 04/05 | 3    | 10680783           | SSI-04001(SSI-040US1)     | 1806                | \$180.00       | \$2,827.00 |
| 04/05 | 8    | PCT/US06/04813     | HAVA-00300WO              | 8007                | -\$40.00       | \$2,867.00 |
| 04/05 | 1315 | 76520406           | WILL-00101                | 7004                | \$150.00       | \$2,717.00 |
| 04/07 | 2    | 10351214           | SSI-04600                 | 1251                | \$120.00       | \$2,597.00 |
| 04/11 | 81   | 11399858           |                           | 9204                | -\$250.00      | \$2,847.00 |
| 04/12 | 1    | 10264581           | BRAUN-00101               | 2201                | \$200.00       | \$2,647.00 |
| 04/13 | 42   | PAYMENT            |                           | 9203                | -\$2,000.00    | \$4,647.00 |
| 04/17 | 4    | 09611676           | 63186                     | 1811                | \$100.00       | \$4,547.00 |
| 04/17 | 506  | 76554864           | SCI-01800                 | 7004                | \$150.00       | \$4,397.00 |
| 04/18 | 169  | 60792093           |                           | 9204                | -\$100.00      | \$4,497.00 |
| 04/20 | 27   | 11326225           | ATI-00000/ATI-00701       | 8021                | \$240.00       | \$4,257.00 |
| 04/25 | 46   | 6466564            |                           | 9204                | -\$900.00      | \$5,157.00 |
| 04/25 | 389  | 11409327           |                           | 9204                | -\$500.00      | \$5,657.00 |
| 04/27 | 1    | 10927400           | FL-00201                  | 2201                | \$100.00       | \$5,557.00 |
| 04/27 | 2    | 10927400           | FL-00201                  | 2202                | \$25.00        | \$5,532.00 |
| 04/27 | 2    | 10364124           | SSI-06000                 | 1806                | \$180.00       | \$5,352.00 |
| 04/27 | 3    | 10121791           | SSI-02500                 | 1806                | \$180.00       | \$5,172.00 |
| 04/27 | 21   | 11189626           | SONY-09302                | 1814                | \$20.00        | \$5,152.00 |
| 04/28 | 1    | 10630649           | SSI-08500                 | 1806                | \$180.00       | \$4,972.00 |
|       |      | START<br>BALANCE   | SUM OF<br>CHARGES         | SUM OF<br>REPLENISH | END<br>BALANCE |            |
|       |      | \$3,187.00         | \$2,005.00                | \$3,790.00          | \$4,972.00     |            |

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Serial No.: 10/630649 H&O File No 551-08500 3y: TBH  
In the Matter of The Application of: William Dale Jones F  
Date Mailed: 4/18/06 Date: 4/30/06  
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- |  |  |  |
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| <input type="checkbox"/> Design Patent Application _____ Pages           | <input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts)     | <input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Duplicate |
| <input type="checkbox"/> Utility Patent Application _____ Pages          | <input type="checkbox"/> Form PTOL-85B (Issue Fee Trans.) & dup.   | <input checked="" type="checkbox"/> Certificate of Mailing   |
| <input type="checkbox"/> Prov. Patent Application _____ Pages            | <input type="checkbox"/> Form PTO-1050 (Cert. of Correction)       | <input type="checkbox"/> Certificate of Express Mailing  |
| <input type="checkbox"/> Declaration/Oath                                | <input type="checkbox"/> Maintenance Fee Transmittal Form          | Label No _____   |
| <input type="checkbox"/> Power of Attorney _____                         | <input type="checkbox"/> Reexamination Request                     | <input type="checkbox"/> Check(s) \$ _____   |
| <input type="checkbox"/> Assignment                                      | <input type="checkbox"/> Notice of Appeal                          | <input checked="" type="checkbox"/> Deposit Account Authorization Form                               |
| <input type="checkbox"/> Form PTO-1594/1595                              | <input type="checkbox"/> Affidavit/Declaration                     |  |
| <input type="checkbox"/> Small Entity Statement                          | <input type="checkbox"/> Copy of Filing Receipt                    |  |
| <input type="checkbox"/> Drawings: _____ Sheets(s)                       | <input type="checkbox"/> Req. for Corr. of: _____                  |  |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal        | <input type="checkbox"/> Request for Non-Publication               |  |
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| <input checked="" type="checkbox"/> Form PTO-1449 and Copies of _____ 7  | <input type="checkbox"/> _____                                     |  |
| References Contained Thereon   | <input type="checkbox"/> _____                                     |  |
| <input checked="" type="checkbox"/> Information Disclosure Certification | <input type="checkbox"/> _____                                     |  |
| <input type="checkbox"/> Amendment/Response                              | <input type="checkbox"/> _____                                     |  |
| <input type="checkbox"/> Extension of Time Request: _____ Months(s)      | <input type="checkbox"/> _____                                     |  |
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|                                      |   |                                  |
|--------------------------------------|---|----------------------------------|
| In re Application of:                | ) | Group Art Unit: 3746             |
| William Dale Jones                   | ) | Examiner: Rodriguez, William H.  |
| Serial No.: 10/630,649               | ) | <b><u>TRANSMITTAL LETTER</u></b> |
| Filed: July 29, 2003                 | ) | 162 N. Wolfe Road                |
| For: <b>CONTROL OF FLUID FLOW IN</b> | ) | Sunnyvale, CA 94086              |
| <b>THE PROCESSING OF AN</b>          | ) | (408) 530-9700                   |
| <b>OBJECT WITH A FLUID</b>           | ) | Customer No. 28960               |

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COPY**

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 4-18-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.  
Date: 4/18/06 By: fler

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William Dale Jones

Serial No.: 10/630,649

Filed: July 29, 2003

For: **CONTROL OF FLUID FLOW IN  
THE PROCESSING OF AN  
OBJECT WITH A FLUID**

) Group Art Unit: 3746

) Examiner: Rodriguez, William H.

) TRANSMITTAL LETTER

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No. 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 4/18/06

By: Thomas B. Havestock

Thomas B. Havestock  
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 4/18/06 By: Flu

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PATENT  
Attorney Docket No.: SSI-08500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William Dale Jones

Serial No.: 10/630,649

Filed: July 29, 2003

For: **CONTROL OF FLUID FLOW IN  
THE PROCESSING OF AN  
OBJECT WITH A FLUID**

) Group Art Unit: 3746

) Examiner: Rodriguez, William H.

) **CERTIFICATION FOR**  
) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT UNDER 37**  
) **CFR § 1.97(e)**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No. 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached seven (7) references contained in this supplemental information disclosure statement were cited within an official action from a foreign patent office mailed on January 31, 2006. Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

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**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 4-18-06

By Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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HAVERSTOCK & OWENS LLP.  
Date: 4/18/06 By: [Signature]

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 4-18-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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|---|----|--|------------------|--------------------------------|------------------------|----------|-------------|
| FORM PTO-1449<br>(Modified)   |    | U.S. Department of Commerce<br>Patent and Trademark Office |                  | Attorney Docket No.: SSI-08500 | Serial No.: 10/630,649 |          |             |
| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>(Use Several Sheets If Necessary)   |    |  |                  | Applicant: William Dale Jones  |                        |          |             |
|   |    |  |                  | Filing Date: July 29, 2003     | Group Art Unit: 3746   |          |             |
| (37 CFR § 1.98(b))  |    |  |                  |                                |                        |          |             |
| U.S. PATENT DOCUMENTS   |    |  |                  |                                |                        |          |             |
| Examiner<br>Initials  |    | Serial / Patent<br>Number                                  | Issue Date       | Applicant / Patentee           | Class                  | Subclass | Filing Date |
|   | AA |  |                  |                                |                        |          |             |
|   | AB |  |                  |                                |                        |          |             |
|   | AC |  |                  |                                |                        |          |             |
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|   | AG |  |                  |                                |                        |          |             |
|   | AH |  |                  |                                |                        |          |             |
|   | AI |  |                  |                                |                        |          |             |
|   | AJ |  |                  |                                |                        |          |             |
| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS  |    |  |                  |                                |                        |          |             |
|   |    | Document<br>Number   | Publication Date | Country / Patent Office        | Class                  | Subclass | Translation |
|   |    |  |                  |                                |                        |          | Yes    No   |
|   | AK | EP 0 726 099 A2  | 08/14/96         | EPO                            | B08B                   | 5/00     | X           |
|   | AL | JP 4-17333   | 01/22/92         | JPO                            | H01L                   | 21/304   | X           |
|   | AM | JP 8-252549  | 10/01/96         | JPO                            | B08B                   | 7/00     | X           |
|   | AN | JP 9-43857   | 02/14/97         | JPO                            | G03F                   | 7/42     | X           |
|   | AO | JP 10-260537   | 09/29/98         | JPO                            | G03F                   | 7/42     | X           |
|   | AP | JP 11-274132   | 10/08/99         | JPO                            | H01L                   | 21/304   | X           |
|   | AQ | JP 63-179530   | 07/23/88         | JPO                            | H01L                   | 21/304   | X           |
|   | AR |  |                  |                                |                        |          |             |
|   | AS |  |                  |                                |                        |          |             |
|   | AT |  |                  |                                |                        |          |             |
| OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)   |    |  |                  |                                |                        |          |             |
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|   | BB |  |                  |                                |                        |          |             |
|   | BC |  |                  |                                |                        |          |             |
| Examiner:   |    |  |                  | Date Considered:               |                        |          |             |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |                  |                                |                        |          |             |

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